

11321-P012USD4

UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

#6

In re Application of: Richard E. Smalley et al.

Group Art Unit: 1754


Serial No.: 10/033,092

Filed: December 28, 2001

Title: METHOD FOR FORMING A PATERNEED ARRAY OF
SINGLE-WALL CARBON NANOTUBES

Under 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

11321-P012USD4




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A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith, except for the pending patent applications, for which no copies are being submitted.

Respectfully submitted,

By:


Ross Spencer Garsson
Reg. No. 38,150

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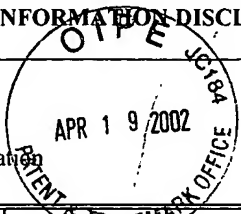
Serial No.: 10/033,092

Applicants: Richard E. Smalley et al.

Filing Date: December 28, 2001

Group: 1754

Atty. Docket No.: 11321-P012USD4

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANTS' INFORMATION DISCLOSURE
STATEMENT**

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Reference Designation

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
____ AAA						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
____ ABA	EP 1 176 234 A2	12/05/1993	European			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)Examiner
Initial

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- ____ AQA GAMALY, *et al.*, "Mechanism of carbon nanotube formation in the arc discharge," *Physical Review B*, Volume 52, Number 3, July 15, 1995-I, pp. 2083-2089.
- ____ ARA GE, *et al.*, "Scanning tunneling microscopy of single-shell nanotubes of carbon," *Appl. Phys. Lett.*, Volume 65(18), October 31, 1994, pp. 2284-2286.

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.